



Atty. Dkt. No. 078096-0106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephan Andreas SCHUNK

Title: PROCESS AND APPARATUS FOR TRANSFERRING AIR-SENSITIVE SUBSTANCES

Appl. No.: 09/997,285

Filing Date: 11/30/2001

Examiner: ~~Unassigned~~ *Sie & Ke*

Art Unit: ~~4755~~ *1743*

*SADH*  
*#6*  
*9-S-02*

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

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AUG 22 2002  
TC 1700

**RELEVANCE OF EACH DOCUMENT**

All documents are in English.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date August 16, 2002

FOLEY & LARDNER  
Customer Number: 22428



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By

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Substitute for form 1449B/PTO

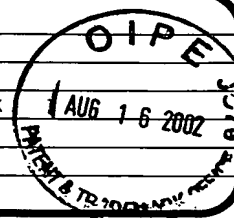
## Date Submitted: August 16, 2002

*(use as many sheets as necessary)*

Sheet 1 of 1

**Complete if Known**

<b>Application Number</b>	09/997,285
<b>Filing Date</b>	11/30/2001
<b>First Named Inventor</b>	Dr. Stephan A. Schunk
<b>Group Art Unit</b>	1755
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	078096-0106

[illegible][illegible]

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Standard ST.3. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.  
 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, D.C. 20231.